

Semi-annual Safeguards Monitoring Report

Monitoring Period Covered: July – December 2017

L3100-PHI: KALAHI-CIDSS¹ National Community-Driven Development Project

This environmental and social monitoring report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

In preparing any country program or strategy, financing any project, or by making any designation of or reference to a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgments as to the legal or other status of any territory or area.

Prepared by the National Project Management Office (NPMO) of KALAHI-CIDSS.

¹ *Kapit-Bisig Laban sa Kahirapan* (Linking Arms Against Poverty)-Comprehensive and Integrated Delivery of Social Services.

ABBREVIATIONS

ADB	Asian Development Bank
CEAC	Community Empowerment Activity Cycle
CDD	Community Driven Development
DENR	Department of Environment and Natural Resources
DSWD	Department of Social Welfare and Development
EA	Executing Agency
EIA	Environmental Impact Assessment
EMB	Environmental Management Bureau
GRS	Grievance Redress System
IEE	Initial Environmental Examination
IP	Indigenous Peoples
IR	Involuntary Resettlement
KALAHI-CIDSS	<i>Kapit Bisig Laban sa Kahirapan</i> (Linking Arms Against Poverty) – Comprehensive and Integrated Delivery of Social Services
KC-NCDPP	KALAHI-CIDSS National Community-Driven Development Project
NPMO	National Project Management Office
RPMO	Regional Project Management Office
SERD	Southeast Asia Regional Department
SPS	ADB Safeguards Policy Statement (2009)

CONTENTS

ABBREVIATIONS.....	II
CONTENTS.....	III
EXECUTIVE SUMMARY	IV
I. INTRODUCTION AND PROJECT OVERVIEW	1
II. SAFEGUARDS PERFORMANCE MONITORING	3
a. Summary of Compliance on Environmental Safeguards	3
b. Summary of Compliance on RP/IPP Safeguards Requirements	5
c. Safeguards Compliance Per Mission Results	8
III. OCCUPATIONAL, HEALTH AND SAFETY (OHS) PERFORMANCE MONITORING	11
IV. INFORMATION DISCLOSURE, SOCIALIZATION INCLUDING CAPABILITY BUILDING	12
V. GRIEVANCE REDRESS MECHANISM.....	13
VI. CONCLUSION.....	14
ATTACHMENTS: PHOTODOCUMENTATION.....	15

EXECUTIVE SUMMARY

1. The KALAHI-CIDSS National Community-Driven Development Project (the Project), supports the implementation of the government's KALAHI CIDSS-National Community-Driven Development Project (KC-NCDDP) which aimed to restore basic social services and rebuild communities affected by Typhoon Yolanda (international name: Haiyan).
2. The project impact is improved resiliency of poor communities to natural hazards. The outcome is improved access to services and infrastructure for communities in affected provinces and their participation in more inclusive local disaster risk reduction and management planning, budgeting, and implementation. The project outputs are: (i) community-driven development (CDD) subprojects selected, implemented, and completed; (ii) institutional and organizational capacity strengthened; and (iii) program management and monitoring and evaluation (M&E) systems enhanced. The project has an implementation period of four years, from 2013 until 2017 and covers approximately 554 Yolanda-affected municipalities in 39 provinces across nine (9) regions.
3. The executing agency (EA) of the Project is the Department of Social Welfare and Development (DSWD). The program management structure is generally divided between the National Program Management Office (NPMO) (responsible for national policy and technical assistance) and the Regional Program Management Office (RPMO) (responsible for field operations)
4. The Project prepared an Environmental and Social Management Framework (ESMF) to guide Program staff in complying with the Project's environmental and social safeguards requirements for all subprojects (SPs). The objectives of the ESMF are: (i) to ensure that selected SPs under the project are designed to avoid minimize negative environmental impacts; and (ii) identify any negative impacts and develop and implement appropriate mitigation measures as part of the SP design and implementation.
5. As of December 2017, there are 799 implementing KC-NCDDP municipalities, which is 94% of the targeted Program coverage of 847 municipalities. Out of these, 547 were affected by Typhoon Yolanda in November 2013. The decrease in the number of municipalities as compared to the previous reporting period is due to the disengagement of the Municipality of Magallanes in Agusan del Norte, CARAGA during the 3rd quarter of 2017.
6. Meanwhile, the Program has mobilized a total of 795,182 community volunteers (CVs). KC-NCDDP CVs are involved in various committee or representation work, and receive training on topics such as situational assessment, needs identification, project proposal preparation, project implementation and management, or local planning and resource allocation, among others. Similar to the barangay assemblies conducted, records show that more women participate in volunteer committees in terms of both membership and leadership.
7. About, 24,180 or 96% out of the 25,099 sub-projects have submitted the Environmental and Social Management Plan (ESMP), the safeguards instrument which identifies risks and corresponding mitigating measures related with the location and nature of sub-projects. On the other hand, the remaining 4% or 919 ESMPs have been prepared by the communities but are yet to be encoded in the safeguards database.

8. The participation rate of households in KC-NCDDP barangay assemblies (BA) remains relatively high at an average of 78%.

9. Since the start of NCDDP, the cumulative total of grievances received through the GRS is at 76,435² of which 99.5% have been satisfactorily resolved. Most of the grievances (95%) received were Type A or non-contentious, queries and comments.

10. The project is partially compliant to both environmental and social safeguards. Recommendations are: (i) ensure that the mitigation measures, including occupational safety and health, indicated in the ESMP are implemented during construction activities; (ii) ensure that applicable environmental permits and clearances are secured from concerned agencies; (iii) issue simplified ESMP template with facilitation guide to RPMOs; and (iv) continuously enhance the capacity of Program staff on environmental and social safeguards.

² There was a decrease in the number of grievances received compared to the previous reporting period due to error in encoding. The data presented was the sum of the cumulative number of grievances for 2 quarters. However, based on the KC-NCDDP GRS database, validated data shows that the total number of grievances received as of December 2017 is 76,435 only.

I. INTRODUCTION AND PROJECT OVERVIEW

Project Number and Title:	46420-002 PHILIPPINES: KALAHI-CIDSS National Community-Driven Development Project																																																																																																																																												
Safeguards Category	Environment	B																																																																																																																																											
	Involuntary Resettlement	B																																																																																																																																											
	Indigenous Peoples	A																																																																																																																																											
Reporting period:	1 July – 30 December 2017																																																																																																																																												
Last report date:	1 January – 30 June 2017																																																																																																																																												
Key Project Activities:	<p>To date, 799 municipalities, or about 94% of the total target coverage, have implemented KC-NCDDP. This figure is composed of 547 Typhoon Yolanda-affected municipalities and 253 poor municipalities not devastated by Yolanda. Breakdown of enrollment is provided in Tables below.</p> <p style="text-align: center;">Actual Coverage as of December 2017 by Municipal Grouping</p> <table border="1"> <thead> <tr> <th rowspan="2">Municipal Grouping</th> <th colspan="2">Target</th> <th colspan="2">Actual</th> <th>% Target</th> </tr> <tr> <th>No. of Mun</th> <th>No. of Bgys</th> <th>No. of Mun</th> <th>No. of Bgys</th> <th>Mun Covered</th> </tr> </thead> <tbody> <tr> <td>Yolanda-affected municipalities</td> <td>554</td> <td>14,139</td> <td>547</td> <td>13,935</td> <td>99%</td> </tr> <tr> <td>Non-Yolanda affected municipalities</td> <td>293</td> <td>5,508</td> <td>252</td> <td>4,825</td> <td>86%</td> </tr> <tr> <td>Total</td> <td>847</td> <td>19,647</td> <td>799</td> <td>18,760</td> <td>94%</td> </tr> </tbody> </table> <p style="text-align: center;">Actual Coverage as of December 2017 by Region</p> <table border="1"> <thead> <tr> <th rowspan="2">Region</th> <th colspan="2">Target</th> <th colspan="2">Actual</th> <th>% Target</th> </tr> <tr> <th>No. of Muns</th> <th>No. of Brgys</th> <th>No. of Muns</th> <th>No. of Brgys</th> <th>Municipalities Covered</th> </tr> </thead> <tbody> <tr><td>CAR</td><td>50</td><td>624</td><td>37</td><td>477</td><td>74%</td></tr> <tr><td>I</td><td>11</td><td>133</td><td>11</td><td>133</td><td>100%</td></tr> <tr><td>III</td><td>3</td><td>64</td><td>3</td><td>64</td><td>100%</td></tr> <tr><td>IV-A</td><td>28</td><td>853</td><td>23</td><td>771</td><td>82%</td></tr> <tr><td>IV-B</td><td>67</td><td>1,278</td><td>67</td><td>1,278</td><td>100%</td></tr> <tr><td>V</td><td>101</td><td>2,902</td><td>99</td><td>2,864</td><td>98%</td></tr> <tr><td>NIR</td><td>37</td><td>713</td><td>36</td><td>689</td><td>97%</td></tr> <tr><td>VI</td><td>98</td><td>3,111</td><td>97</td><td>3,078</td><td>99%</td></tr> <tr><td>VII</td><td>90</td><td>1,966</td><td>84</td><td>1,825</td><td>93%</td></tr> <tr><td>VIII</td><td>136</td><td>3,705</td><td>134</td><td>3,654</td><td>99%</td></tr> <tr><td>IX</td><td>52</td><td>1,205</td><td>46</td><td>1,068</td><td>88%</td></tr> <tr><td>X</td><td>73</td><td>1,390</td><td>64</td><td>1,182</td><td>88%</td></tr> <tr><td>XI</td><td>27</td><td>496</td><td>27</td><td>496</td><td>100%</td></tr> <tr><td>XII</td><td>17</td><td>386</td><td>17</td><td>386</td><td>100%</td></tr> <tr><td>Caraga</td><td>57</td><td>821</td><td>54</td><td>795</td><td>95%</td></tr> <tr><td>TOTAL</td><td>847</td><td>19,647</td><td>799</td><td>18,760</td><td>94%</td></tr> </tbody> </table> <p>Out of the 799 municipalities enrolled under the Program under Cycle 1, 701 have reached Stage 4 or Community Monitoring Stage. The variance of 89 is still on stage 3 or Community Managed Implementation.</p>					Municipal Grouping	Target		Actual		% Target	No. of Mun	No. of Bgys	No. of Mun	No. of Bgys	Mun Covered	Yolanda-affected municipalities	554	14,139	547	13,935	99%	Non-Yolanda affected municipalities	293	5,508	252	4,825	86%	Total	847	19,647	799	18,760	94%	Region	Target		Actual		% Target	No. of Muns	No. of Brgys	No. of Muns	No. of Brgys	Municipalities Covered	CAR	50	624	37	477	74%	I	11	133	11	133	100%	III	3	64	3	64	100%	IV-A	28	853	23	771	82%	IV-B	67	1,278	67	1,278	100%	V	101	2,902	99	2,864	98%	NIR	37	713	36	689	97%	VI	98	3,111	97	3,078	99%	VII	90	1,966	84	1,825	93%	VIII	136	3,705	134	3,654	99%	IX	52	1,205	46	1,068	88%	X	73	1,390	64	1,182	88%	XI	27	496	27	496	100%	XII	17	386	17	386	100%	Caraga	57	821	54	795	95%	TOTAL	847	19,647	799	18,760	94%
Municipal Grouping	Target		Actual		% Target																																																																																																																																								
	No. of Mun	No. of Bgys	No. of Mun	No. of Bgys	Mun Covered																																																																																																																																								
Yolanda-affected municipalities	554	14,139	547	13,935	99%																																																																																																																																								
Non-Yolanda affected municipalities	293	5,508	252	4,825	86%																																																																																																																																								
Total	847	19,647	799	18,760	94%																																																																																																																																								
Region	Target		Actual		% Target																																																																																																																																								
	No. of Muns	No. of Brgys	No. of Muns	No. of Brgys	Municipalities Covered																																																																																																																																								
CAR	50	624	37	477	74%																																																																																																																																								
I	11	133	11	133	100%																																																																																																																																								
III	3	64	3	64	100%																																																																																																																																								
IV-A	28	853	23	771	82%																																																																																																																																								
IV-B	67	1,278	67	1,278	100%																																																																																																																																								
V	101	2,902	99	2,864	98%																																																																																																																																								
NIR	37	713	36	689	97%																																																																																																																																								
VI	98	3,111	97	3,078	99%																																																																																																																																								
VII	90	1,966	84	1,825	93%																																																																																																																																								
VIII	136	3,705	134	3,654	99%																																																																																																																																								
IX	52	1,205	46	1,068	88%																																																																																																																																								
X	73	1,390	64	1,182	88%																																																																																																																																								
XI	27	496	27	496	100%																																																																																																																																								
XII	17	386	17	386	100%																																																																																																																																								
Caraga	57	821	54	795	95%																																																																																																																																								
TOTAL	847	19,647	799	18,760	94%																																																																																																																																								

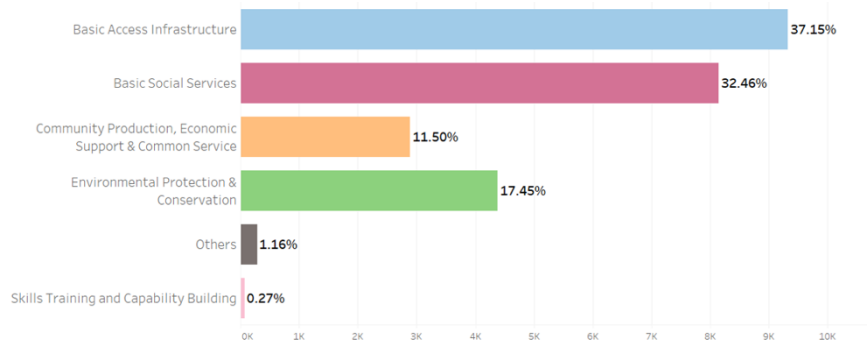
The number of municipalities that will implement their second, third and fourth cycle is considerably lower compared to Cycle 1 implementation per program plan. The number of cycles that a municipality will receive is a factor of a) years/cycles the municipality has accumulated in KALAHI-CIDSS, b) whether the municipality is Yolanda affected or not, and c) whether its pre-Yolanda poverty incidence is high or low.

At the end of the quarter, 624 municipalities have started with their second cycle of implementation, majority of which have reached Stage 3. Forty percent has been able to progress to the last stage of the cycle to date.

For third cycle implementation, 451 municipalities have started, with fairly good progress toward Stages 2 and 3. It should also be noted that most of the Municipalities or 80% have reached Stage 3.

A total of 25,099 community SPs funded under KC-NCDDP have a total project cost of Php 28.4 billion, of which Php 26.9 billion are KC community grants. These are expected to directly benefit a total of 6.7 million household beneficiaries.

Mirroring past trends, the largest bulk of prioritized SPs fall under the basic access infrastructure (37%) and basic social service (35%) categories. The following figure shows the distribution of prioritized SPs by major sub-project category.



As of December 2017, 799 enrolled municipalities have conducted their municipal-level GRS orientation during the Municipal Orientation. Moreover, 18,760 barangays in enrolled municipalities have conducted the GRS orientation and formed GRS committees during their first BA. Meanwhile, 15,448 barangays have displayed their GRS information materials such as tarpaulins /posters and brochures /leaflets.

Report prepared by:

KC-NCDDP National Program Management Office (NPMO)

II. Safeguards Performance Monitoring

11. The Project prepared an Environmental and Social Management Framework (ESMF) to guide Program staff in complying with the Project's environmental and social safeguards requirements for all subprojects. The objectives of the ESMF are: (i) to ensure that selected SPs under the project are designed to avoid minimize negative environmental impacts; and (ii) identify any negative impacts and develop and implement appropriate mitigation measures as part of the SP design and implementation.

12. The environmental and social safeguard requirements of subprojects are integrated in the Community Empowerment Activity Cycle (CEAC) and the accelerated CEAC to ensure that the construction and implementation of all subprojects are in compliance with ADB's safeguard requirements and with the applicable laws and regulations in the country.

13. Eligible subprojects are subjected to environmental and social safeguards screening and all subprojects are required to prepare and environmental and social management plan (ESMP) that presents the anticipated environmental and social impacts and the prescribed mitigation measures to address these impacts.

14. As of the end of December 2017, 96% (24,180) of the 25,099 subprojects have submitted the ESMP. The remaining 4% or 919 ESMPs have been prepared by the communities but are yet to be encoded in the safeguards database.

a. Summary of Compliance on Environmental Safeguards

15. In terms of compliance on securing environmental clearances, there was an increase from 11,114 to 11,801 on the number of CNCs issued by the DENR to KC-NCDDP sub-projects. Further, it was reported by FO VI that they have three (3) subprojects for which an Environmental Compliance Certificate (ECC) from DENR is currently being secured. However, upon validation and re-assessment based on the Annex A of DENR Revised Guidelines for Coverage Screening and Standardized Requirements, these subprojects fall under Category D (projects not covered by the Philippine EIS System) and may only secure Certificate of Non-Coverage (CNC). As a result, it was recommended to the concerned RPMO that a joint validation with DENR be conducted and request for CNC application be submitted for the 3 subprojects on or before 31 March 2018.

Status of submission of ESMP per Region

Region	No. of SPs	With ESMP	With CNC	% with ESMP
CAR	379	335	3	88%
I	133	123	105	92%
III	35	35	26	100%
IV-A	1,117	1,061	37	95%
IV-B	1,503	1,493	570	99%
V	2,707	2,417	1,867	89%
NIR	1,230	1,139	496	93%
VI	3,970	3,921	3,640	99%
VII	2,624	2,624	203	100%
VIII	6,105	6,067	1,587	99%
IX	1,547	1,531	1,073	99%

Region	No. of SPs	With ESMP	With CNC	% with ESMP
X	1061	914	861	86%
XI	751	653	245	87%
XII	563	515	396	91%
Caraga	1,374	1,352	696	98%
Total	25,099	24,180	11,801	96%

16. During the fourth quarter, technical assistance was extended to Regional Community Infrastructure Specialists during the Climate Resilient Infrastructure Training held in Nasugbu, Batangas on 20-24 November 2017. During the activity, the revised screening and categorization of projects as stated in the DENR EMB Memorandum Circular 2014-005 was presented. In addition, salient provisions of the Water Code of the Philippines were discussed particularly on water permit application procedures.

17. A guidance note on conducting safeguards spot checks was developed by the NPMO. As a monitoring tool, the spot checks aim to (i) determine completeness and assess quality of safeguards instruments (ii) assess the extent of compliance to the ESMP and (iii) determine challenges encountered on ESMP preparation, implementation and monitoring. The guidance note was officially issued to the RPMOs on 05 September 2017 and the tools were pilot-tested in three regions namely FOs CAR, IV-A and IV-B.

Requirements	Compliance Status (Yes, No, Partial)	Comment or Reasons for Non-compliance
Submission of subprojects' ESMP	Partial	<p>The environmental safeguards compliance is monitored through the Project's geotagging web application and verified by physical inspection</p> <p>Of the 25,099 SPs, 24,180 (96%) are compliant with the submission of ESMP. The remaining 4% or 919 ESMPs have been prepared by the communities but are yet to be encoded in the safeguards database.</p> <p>The compliance status is partial because the safeguards database still reflects SPs with environmental compliance certificate (ECC) even if there are no category B SPs.</p>

18. **Issue and recommendations.** The table below shows the status of the issues identified in the previous monitoring reports

No.	Issues	Recommendations	Status
1	Incorrect encoding of safeguards information in the Program's database	Review of safeguards database and ensure on-time submission of report with cleaned and updated data	Ongoing Coordination with M&E was undertaken regarding issues on the database systems and data cleaning
2	Delays in the issuance of applicable permits/ clearances/ certifications from other government agencies	Coordination with concerned government agencies to follow up status of the required documents	On going Requested meetings with concerned government agencies to facilitate issuance of applicable permits/ clearances/ certifications

3	Non-updating of the ESMPs	Remind ACTs to ensure updating of the ESMPs and updated ESMPs to be attached to the Sub-Project Completion Report (SPCR)	On-going Memorandum issued to RPMOs requiring the updated ESMP as attachment to the SPCR
4	Some of the communities are still having hard time answering the questions in the ESMP	Simplify ESMP template and prepare a facilitation guide that will be used by the ACTs in conducting workshop for ESMP preparation	Done EA developed a simplified ESMP template with facilitator's guide. A national training to introduce the simplified template will be conducted on April 2018

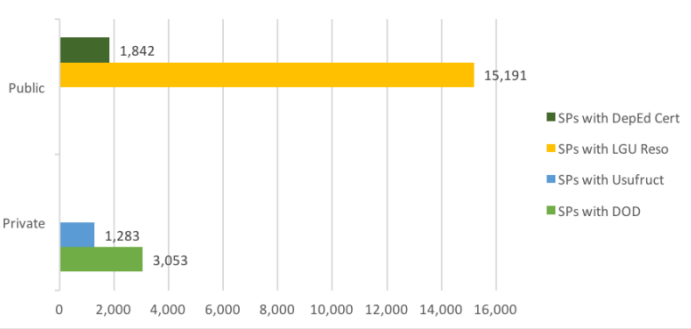
b. Summary of Compliance on RP/IPP Safeguards Requirements

19. As mentioned above, 96% of SPs submitted the ESMP. In addition, IR external monitoring commenced on July 2017 while field visits for the IP external monitoring were conducted on October to November 2017.

20. In order to facilitate empowerment of the engaged communities, the Program mobilizes Area Coordinating Teams (ACTs) designated to a municipality. At the end of the quarter, there was 3,425 ACT staff on board the Program, which is 97% of the planned 3,536 positions for hiring. Previously, the planned ACT positions for hiring numbered 4,875. The decrease in the number of required ACT members came alongside with the wrapping up of implementation in some municipalities.

21. Compliance status is partial. The following are the key activities and some issues with way forward for the monitoring period:

RP/IPP Activity/Issue	Comment/Further Action
Safeguards specialists and officers participated in the NPMO learning missions in Regions CAR, V, VI, X and XI. The activity provided an opportunity to monitor and assess the quality of environmental and social safeguards compliance in the areas that were visited. The communities demonstrated a good example of ESMP preparation and implementation as evidenced by regular updating of their compliance with the ESMP.	Reiterated the posting of the ESMP as a monitoring tool for sub-project implementation as a means to ensure that mitigating measures are implemented as scheduled. As part of the continuous monitoring, spot check tools were also developed to systematize social safeguards monitoring.
Social safeguards planning: a. Completion of Environmental and Social Management Plan (ESMP).	Only 96% of total SPs (24,180) were able to produce, see above table.

RP/IPP Activity/Issue	Comment/Further Action																																																																																																																																						
<p>b. Community proposals for infrastructure projects supported by various land acquisition instruments/ documentation</p>	<p>As below:</p> <table border="1" data-bbox="732 258 1414 741"> <thead> <tr> <th>Region</th> <th>No. of SPs</th> <th>Deed of Donation</th> <th>Usufruct Agreement</th> <th>M/BLGU Resolution</th> <th>DEPED Certification</th> <th>Other Instruments</th> </tr> </thead> <tbody> <tr><td>CAR</td><td>379</td><td>37</td><td>66</td><td>176</td><td>25</td><td>66</td></tr> <tr><td>I</td><td>133</td><td>1</td><td>61</td><td>62</td><td>10</td><td>4</td></tr> <tr><td>III</td><td>35</td><td>7</td><td>1</td><td>22</td><td>1</td><td>2</td></tr> <tr><td>IV-A</td><td>1117</td><td>588</td><td>110</td><td>168</td><td>19</td><td>178</td></tr> <tr><td>IV-B</td><td>1,503</td><td>287</td><td>102</td><td>823</td><td>150</td><td>730</td></tr> <tr><td>V</td><td>2,707</td><td>198</td><td>58</td><td>1,535</td><td>394</td><td>470</td></tr> <tr><td>NIR</td><td>1,230</td><td>216</td><td>187</td><td>576</td><td>57</td><td>147</td></tr> <tr><td>VI</td><td>3,970</td><td>789</td><td>95</td><td>1506</td><td>263</td><td>1417</td></tr> <tr><td>VII</td><td>2,624</td><td>69</td><td>356</td><td>1,874</td><td>95</td><td>112</td></tr> <tr><td>VIII</td><td>6,105</td><td>176</td><td>71</td><td>4,959</td><td>301</td><td>1395</td></tr> <tr><td>IX</td><td>1,5747</td><td>179</td><td>5</td><td>1020</td><td>269</td><td>27</td></tr> <tr><td>X</td><td>1061</td><td>167</td><td>100</td><td>605</td><td>38</td><td>74</td></tr> <tr><td>XI</td><td>751</td><td>86</td><td>37</td><td>422</td><td>51</td><td>7</td></tr> <tr><td>XII</td><td>563</td><td>37</td><td>31</td><td>422</td><td>46</td><td>22</td></tr> <tr><td>Caraga</td><td>1,374</td><td>216</td><td>1</td><td>1021</td><td>123</td><td>142</td></tr> <tr><td>TOTAL</td><td>25,099</td><td>3,053</td><td>1,117</td><td>15,191</td><td>1,842</td><td>4,793</td></tr> </tbody> </table> <p>On compliance related to land acquisition, the four (4) major land instruments being executed for KC-NCDDP</p>  <table border="1" data-bbox="727 856 1414 1182"> <caption>Data for Land Instrument Chart</caption> <thead> <tr> <th>Ownership</th> <th>SPs with DepEd Cert</th> <th>SPs with LGU Reso</th> <th>SPs with Usufruct</th> <th>SPs with DOD</th> </tr> </thead> <tbody> <tr> <td>Public</td> <td>1,842</td> <td>15,191</td> <td>0</td> <td>0</td> </tr> <tr> <td>Private</td> <td>0</td> <td>0</td> <td>1,283</td> <td>3,053</td> </tr> </tbody> </table> <p>subprojects are Deed of Donation, Usufruct Agreement, LGU Resolution and DepED Certification. The graph below shows the number of instruments executed depending on the land ownership which is either private or public. Noticeably, most sub-projects are constructed in public or government-owned lots.</p>	Region	No. of SPs	Deed of Donation	Usufruct Agreement	M/BLGU Resolution	DEPED Certification	Other Instruments	CAR	379	37	66	176	25	66	I	133	1	61	62	10	4	III	35	7	1	22	1	2	IV-A	1117	588	110	168	19	178	IV-B	1,503	287	102	823	150	730	V	2,707	198	58	1,535	394	470	NIR	1,230	216	187	576	57	147	VI	3,970	789	95	1506	263	1417	VII	2,624	69	356	1,874	95	112	VIII	6,105	176	71	4,959	301	1395	IX	1,5747	179	5	1020	269	27	X	1061	167	100	605	38	74	XI	751	86	37	422	51	7	XII	563	37	31	422	46	22	Caraga	1,374	216	1	1021	123	142	TOTAL	25,099	3,053	1,117	15,191	1,842	4,793	Ownership	SPs with DepEd Cert	SPs with LGU Reso	SPs with Usufruct	SPs with DOD	Public	1,842	15,191	0	0	Private	0	0	1,283	3,053
Region	No. of SPs	Deed of Donation	Usufruct Agreement	M/BLGU Resolution	DEPED Certification	Other Instruments																																																																																																																																	
CAR	379	37	66	176	25	66																																																																																																																																	
I	133	1	61	62	10	4																																																																																																																																	
III	35	7	1	22	1	2																																																																																																																																	
IV-A	1117	588	110	168	19	178																																																																																																																																	
IV-B	1,503	287	102	823	150	730																																																																																																																																	
V	2,707	198	58	1,535	394	470																																																																																																																																	
NIR	1,230	216	187	576	57	147																																																																																																																																	
VI	3,970	789	95	1506	263	1417																																																																																																																																	
VII	2,624	69	356	1,874	95	112																																																																																																																																	
VIII	6,105	176	71	4,959	301	1395																																																																																																																																	
IX	1,5747	179	5	1020	269	27																																																																																																																																	
X	1061	167	100	605	38	74																																																																																																																																	
XI	751	86	37	422	51	7																																																																																																																																	
XII	563	37	31	422	46	22																																																																																																																																	
Caraga	1,374	216	1	1021	123	142																																																																																																																																	
TOTAL	25,099	3,053	1,117	15,191	1,842	4,793																																																																																																																																	
Ownership	SPs with DepEd Cert	SPs with LGU Reso	SPs with Usufruct	SPs with DOD																																																																																																																																			
Public	1,842	15,191	0	0																																																																																																																																			
Private	0	0	1,283	3,053																																																																																																																																			
<p>c. Among the 847 municipalities, 112 municipalities overlap with approved CADT areas while 93 municipalities overlap with areas with in-process CADTs. Of these areas, 50 have ADSDPPs.</p>	<p>As below:</p> <table border="1" data-bbox="797 1440 1349 1871"> <thead> <tr> <th>Region</th> <th>Mun with Approved CADTs</th> <th>Mun with On-process CADTs</th> <th>Mun with ADSDPP</th> </tr> </thead> <tbody> <tr><td>CAR</td><td>11</td><td>16</td><td>23</td></tr> <tr><td>I</td><td>4</td><td>3</td><td>2</td></tr> <tr><td>IV-A</td><td>0</td><td>2</td><td>-</td></tr> <tr><td>IV-B</td><td>23</td><td>21</td><td>3</td></tr> <tr><td>V</td><td>7</td><td>3</td><td>4</td></tr> <tr><td>VI</td><td>5</td><td>9</td><td>3</td></tr> <tr><td>VII</td><td>2</td><td>4</td><td>-</td></tr> <tr><td>IX</td><td>8</td><td>5</td><td>2</td></tr> <tr><td>X</td><td>9</td><td>13</td><td>2</td></tr> <tr><td>XI</td><td>15</td><td>9</td><td>7</td></tr> <tr><td>XII</td><td>8</td><td>1</td><td>1</td></tr> <tr><td>Caraga</td><td>20</td><td>7</td><td>3</td></tr> <tr><td>TOTAL</td><td>112</td><td>93</td><td>50</td></tr> </tbody> </table> <p>Source: National Commission on Indigenous Peoples (NCIP)</p>	Region	Mun with Approved CADTs	Mun with On-process CADTs	Mun with ADSDPP	CAR	11	16	23	I	4	3	2	IV-A	0	2	-	IV-B	23	21	3	V	7	3	4	VI	5	9	3	VII	2	4	-	IX	8	5	2	X	9	13	2	XI	15	9	7	XII	8	1	1	Caraga	20	7	3	TOTAL	112	93	50																																																																														
Region	Mun with Approved CADTs	Mun with On-process CADTs	Mun with ADSDPP																																																																																																																																				
CAR	11	16	23																																																																																																																																				
I	4	3	2																																																																																																																																				
IV-A	0	2	-																																																																																																																																				
IV-B	23	21	3																																																																																																																																				
V	7	3	4																																																																																																																																				
VI	5	9	3																																																																																																																																				
VII	2	4	-																																																																																																																																				
IX	8	5	2																																																																																																																																				
X	9	13	2																																																																																																																																				
XI	15	9	7																																																																																																																																				
XII	8	1	1																																																																																																																																				
Caraga	20	7	3																																																																																																																																				
TOTAL	112	93	50																																																																																																																																				

RP/IPP Activity/Issue	Comment/Further Action																																																																																											
<p>d. Through CEAC, facilitate participation of IP communities present in 308 KC-NCDDP municipalities across 14 regions. IP households estimated at 490,659.</p>	<p>As below:</p> <table border="1" data-bbox="846 289 1300 806"> <thead> <tr> <th>Region</th> <th>Project Mun to Date</th> <th>Mun with IP Population</th> <th>IP HHs</th> </tr> </thead> <tbody> <tr><td>CAR</td><td>37</td><td>37</td><td>81,530</td></tr> <tr><td>I</td><td>11</td><td>5</td><td>10,035</td></tr> <tr><td>III</td><td>3</td><td>-</td><td>-</td></tr> <tr><td>IV-A</td><td>23</td><td>7</td><td>882</td></tr> <tr><td>IV-B</td><td>67</td><td>32</td><td>39,299</td></tr> <tr><td>V</td><td>99</td><td>30</td><td>7,700</td></tr> <tr><td>VI</td><td>97</td><td>43</td><td>22,379</td></tr> <tr><td>NIR</td><td>36</td><td>14</td><td>4,430</td></tr> <tr><td>VII</td><td>84</td><td>8</td><td>1,489</td></tr> <tr><td>VIII</td><td>134</td><td>13</td><td>333</td></tr> <tr><td>IX</td><td>46</td><td>45</td><td>76,757</td></tr> <tr><td>X</td><td>64</td><td>4</td><td>7,963</td></tr> <tr><td>XI</td><td>27</td><td>27</td><td>145,305</td></tr> <tr><td>XII</td><td>17</td><td>15</td><td>29,801</td></tr> <tr><td>Caraga</td><td>55</td><td>28</td><td>62,756</td></tr> <tr><td>TOTAL</td><td>800</td><td>308</td><td>490,659</td></tr> </tbody> </table>	Region	Project Mun to Date	Mun with IP Population	IP HHs	CAR	37	37	81,530	I	11	5	10,035	III	3	-	-	IV-A	23	7	882	IV-B	67	32	39,299	V	99	30	7,700	VI	97	43	22,379	NIR	36	14	4,430	VII	84	8	1,489	VIII	134	13	333	IX	46	45	76,757	X	64	4	7,963	XI	27	27	145,305	XII	17	15	29,801	Caraga	55	28	62,756	TOTAL	800	308	490,659																							
Region	Project Mun to Date	Mun with IP Population	IP HHs																																																																																									
CAR	37	37	81,530																																																																																									
I	11	5	10,035																																																																																									
III	3	-	-																																																																																									
IV-A	23	7	882																																																																																									
IV-B	67	32	39,299																																																																																									
V	99	30	7,700																																																																																									
VI	97	43	22,379																																																																																									
NIR	36	14	4,430																																																																																									
VII	84	8	1,489																																																																																									
VIII	134	13	333																																																																																									
IX	46	45	76,757																																																																																									
X	64	4	7,963																																																																																									
XI	27	27	145,305																																																																																									
XII	17	15	29,801																																																																																									
Caraga	55	28	62,756																																																																																									
TOTAL	800	308	490,659																																																																																									
<p>Public consultation and socialization process:</p> <p>a. Indigenous Peoples' participation in KC-NCDDP barangay assemblies</p>	<p>As of end of December 2017, over-all participation rate of IP households in KC-NCDDP barangay assemblies is 52%. To be able to increase or at least sustain IP participation, RPMOs continuously conduct culture-sensitivity trainings and coaching sessions on how to effectively engage IPs in community activities.</p>																																																																																											
<p>b. Securing of Free and Prior Informed Consent in IP communities</p>	<p>The percentage of SPs that underwent NCIP validation already reached 82%:</p> <table border="1" data-bbox="764 1083 1382 1570"> <thead> <tr> <th>Region</th> <th>No. of SPs in IP Areas</th> <th>No. of SPs submitted for validation</th> <th>No. of Validated SPs</th> <th>% Validated</th> </tr> </thead> <tbody> <tr><td>CAR</td><td>375</td><td>353</td><td>252</td><td>72%</td></tr> <tr><td>I</td><td>152</td><td>123</td><td>123</td><td>100%</td></tr> <tr><td>IV-A</td><td>20</td><td>16</td><td>10</td><td>63%</td></tr> <tr><td>IV-B</td><td>431</td><td>128</td><td>75</td><td>59%</td></tr> <tr><td>V</td><td>96</td><td>96</td><td>90</td><td>94%</td></tr> <tr><td>VI</td><td>251</td><td>125</td><td>121</td><td>97%</td></tr> <tr><td>NIR</td><td>35</td><td>34</td><td>7</td><td>21%</td></tr> <tr><td>VII</td><td>29</td><td>23</td><td>21</td><td>91%</td></tr> <tr><td>IX</td><td>1532</td><td>1,157</td><td>643</td><td>56%</td></tr> <tr><td>X</td><td>89</td><td>105</td><td>63</td><td>60%</td></tr> <tr><td>XI</td><td>691</td><td>691</td><td>691</td><td>100%</td></tr> <tr><td>XII</td><td>539</td><td>512</td><td>410</td><td>80%</td></tr> <tr><td>CARAGA</td><td>351</td><td>1,291</td><td>1291</td><td>100%</td></tr> <tr><td>TOTAL</td><td>4,591</td><td>4,654</td><td>3,797</td><td>82%</td></tr> </tbody> </table> <p>The conduct of validation will either result to the issuance of Certification Precondition or Certificate of Non-Overlap by NCIP. As of December 2017, 2,621 SPs or 69% were issued with NCIP certification:</p> <table border="1" data-bbox="792 1751 1354 1892"> <thead> <tr> <th>Region</th> <th>No. of Validated SPs</th> <th>No. of SPs with CP/CNO</th> <th>% of Validated SPs with CP/CNO</th> </tr> </thead> <tbody> <tr><td>CAR</td><td>252</td><td>252</td><td>100%</td></tr> <tr><td>I</td><td>123</td><td>116</td><td>94%</td></tr> <tr><td>IV-A</td><td>16</td><td>7</td><td>44%</td></tr> </tbody> </table>	Region	No. of SPs in IP Areas	No. of SPs submitted for validation	No. of Validated SPs	% Validated	CAR	375	353	252	72%	I	152	123	123	100%	IV-A	20	16	10	63%	IV-B	431	128	75	59%	V	96	96	90	94%	VI	251	125	121	97%	NIR	35	34	7	21%	VII	29	23	21	91%	IX	1532	1,157	643	56%	X	89	105	63	60%	XI	691	691	691	100%	XII	539	512	410	80%	CARAGA	351	1,291	1291	100%	TOTAL	4,591	4,654	3,797	82%	Region	No. of Validated SPs	No. of SPs with CP/CNO	% of Validated SPs with CP/CNO	CAR	252	252	100%	I	123	116	94%	IV-A	16	7	44%
Region	No. of SPs in IP Areas	No. of SPs submitted for validation	No. of Validated SPs	% Validated																																																																																								
CAR	375	353	252	72%																																																																																								
I	152	123	123	100%																																																																																								
IV-A	20	16	10	63%																																																																																								
IV-B	431	128	75	59%																																																																																								
V	96	96	90	94%																																																																																								
VI	251	125	121	97%																																																																																								
NIR	35	34	7	21%																																																																																								
VII	29	23	21	91%																																																																																								
IX	1532	1,157	643	56%																																																																																								
X	89	105	63	60%																																																																																								
XI	691	691	691	100%																																																																																								
XII	539	512	410	80%																																																																																								
CARAGA	351	1,291	1291	100%																																																																																								
TOTAL	4,591	4,654	3,797	82%																																																																																								
Region	No. of Validated SPs	No. of SPs with CP/CNO	% of Validated SPs with CP/CNO																																																																																									
CAR	252	252	100%																																																																																									
I	123	116	94%																																																																																									
IV-A	16	7	44%																																																																																									

RP/IPP Activity/Issue	Comment/Further Action											
	IV-B	75	22	30%								
	V	90	14	15%								
	VI	121	114	94%								
	NIR	7	2	29%								
	VII	21	2	9%								
	IX	643	323	50%								
	X	63	0	0%								
	XI	691	474	69%								
	XII	410	213	52%								
	CARAGA	1291	1082	84%								
	TOTAL	3,802	2,621	69%								
c. Consultation-Dialogue with IP Tribal Leaders	<p>The NPMO safeguards team assisted in the conduct of the 2nd Visayas IP congress attended by tribal leaders, community volunteers and representatives from DSWD and NCIP Regional offices. The local government and local media were also present to witness the activity. Similar to the previous consultations conducted in Luzon and Mindanao, the activity aims to provide a venue to share good practices and at the same time discuss issues and concerns of indigenous communities along KC-NCDDP implementation.</p> <p>Details of the above-mentioned activity are provided in the table below:</p> <table border="1"> <thead> <tr> <th>Cluster</th> <th>Date</th> <th>Venue</th> <th>No. of Tribal Leaders</th> </tr> </thead> <tbody> <tr> <td>Visayas</td> <td>September 18-22, 2017</td> <td>Dumaguete City</td> <td>93</td> </tr> </tbody> </table>				Cluster	Date	Venue	No. of Tribal Leaders	Visayas	September 18-22, 2017	Dumaguete City	93
Cluster	Date	Venue	No. of Tribal Leaders									
Visayas	September 18-22, 2017	Dumaguete City	93									
External Monitoring	<p>The engaged IP consultant already conducted field visits in 5 sites namely Burdeos, Quezon; Batad, Iloilo; Pilar, Bohol; Malitbog, Bukidnon and Magsaysay, Occidental Mindoro. In addition, the field visit report and the final EM report were submitted to NPMO and shared with ADB.</p> <p>On the other hand, the hired consultant for IR resigned on 18 December 2018. Due to time constraint and anticipation of ADB Project closing, it was recommended that the external monitoring on IR be undertaken by the currently engaged IP EM consultant. The recommendation was approved by ADB.</p>											
a. Field activities of external IP Monitor												
b. Contracting of external IR monitor												

c. Safeguards Compliance Per Mission Results

22. Compliance status is partial. Below are agreements made during the ADB-DSWD Technical Meetings conducted from 17-26 July 2017 and the corresponding status:

Agreed Action	Status
Submission of consolidated SMRs. It was noted that the current outline and content of the Safeguard Monitoring Report (SMR) was confusing thus to simplify and provide further guidance, ADB shared the monitoring template and discussed with DSWD.	Done. SMRs submitted on 22 December 2017 following the ADB prescribed template.

Agreed Action	Status
<p>Submission date of SMRs. ADB requires the submission of SMRs 30 days after the reporting period (i.e. July 30 for the reporting period of Jan-June) while NPMO consolidates all information from regional offices 60 days after each quarter.</p>	<p>DSWD will try to comply with the submission date; bearing in mind the massive dataset and subsets necessary for inclusion in the SMRs.</p>
<p>Incorrect information on the number of SPs with ECC and certificate of non-coverage (CNC)</p>	<p>Cleaning and updating of safeguards database are continuously being undertaken by the RPMOs</p>
<p>DSWD to provide details on status of compliance with loan covenant on land acquisition and involuntary resettlement <u>in the quarterly progress reports</u>, which include the</p> <p>(a) nature of acquisition of land and right of way; (b) type of land affected; (c) agreement with affected households; whether or not required documentation has been completed. (para 38)</p>	<p>Sampling will no longer be conducted. Report will focus on Deed of Donations (DODs) and related land instruments.</p>
<p>DSWD to closely monitor pending issues related to land acquisition and resettlement and provide details in the safeguards monitoring report to be submitted in December 2016</p>	<p>The land ownership documentation issue was observed in one of the subprojects visited in Sorsogon. As an update, a resolution was issued by LGU Sorsogon allowing the use of municipal-owned lot for the Construction of Evacuation Center in Barangay San Roque, Bulusan, Sorsogon.</p>
<p>Document best practices in regions where IPs are minority in their barangay.</p>	<p>This will be covered by case studies under TA 8590 but with guidance from social safeguards of NPMO as regards case selection.</p>
<p>Occupational health and safety (OHS). DSWD to include the following in the KC-NCDDP quarterly progress reports: (i) updates on subprojects with ESMP, CNC, ECC in all regions; (ii) challenges encountered in the implementation of environmental safeguards compliance; (iii) grievance received and resolution on the implementation of ESMP; and (iv) list of training programs provided on safeguards, including OSH, during the reporting period.</p>	<p>Partially compliant to the occupational health and safety by providing field staff regular monitoring and reminders on proper usage of PPEs, as well as OSH trainings to community volunteers and ACTs.</p> <p>Field Offices conducted occupational safety trainings.</p>
<p>Lack of NCIP validation for some SPs. Some projects from previous cycles (2014) were already constructed without validation from NCIP. All SPs with IPs went through CEAC thus compliant with consultation requirements. NCIP formalized partnership with DSWD only in July 2015 through MOA. NCIP thus had backlog for validation activities.</p>	<p>Ongoing inventory of on-going and completed SPs with pending validation activities from NCIP</p>
<p>External monitoring (EM). EM consultant for IP already hired; Plan of work submitted</p>	<p>EM consultant for IP already conducted field monitoring to 5 sites</p>
<p>Database. Type of SPs: Need to breakdown categories in order to further contextualize IP SPs, i.e., type of access infrastructure, and social services</p>	<p>Done.</p> <p>ADB provided with breakdown of SP types in IP areas</p>
<p>Grievance redress. Confusion on entries particular to safeguards. Need to operationally define "safeguards-related grievances" parallel to current GRM categories A, B, and C.</p>	<p>Done</p>
<p>Three (3) Category B subprojects applied for ECC in FO VI</p>	<p>Upon validation and re-assessment based on the Annex A of DENR Revised Guidelines for Coverage Screening and Standardized Requirements, the 3 subprojects fall under Category D (projects not covered by the Philippine EIS System) and may only secure Certificate of Non-Coverage (CNC). Coordination with DENR to be undertaken</p>

Agreed Action	Status
	for the conduct of joint validation on or before 31 March 2018.
Required water potability testing not regularly undertaken	Memorandum for RPMOs reiterating required periodic potability testing for water system subprojects being finalized.

III. OCCUPATIONAL, HEALTH AND SAFETY (OHS) PERFORMANCE MONITORING

23. Mitigation and preventive measures on occupational, health and safety (OHS) are included in the ESMP template. Based from the discussions with regional project management office (RPMO), it had been a challenge to implement the wearing of basic personal protective equipment (PPE) like gloves, helmets, and safety shoes during construction activities of SPs. The project is partially compliant to OHS because there was no documentation on the reported number of incidents and/or accidents during project implementation.

24. Trainings are usually provided by engineers in RPMO. The topics discussed in the training are Basic Occupational Safety and Health Framework, unsafe and unhealthy acts conditions, proper housekeeping, material handling and storage, fire safety, electrical safety, machine safety, compliance procedure particular on the personal protective equipment's (PPEs), Safety and health inspection, accident procedural investigation, industrial hygiene, control measure, workplace hazard, prevention and mitigation of risk in project implementation. The table below shows the accomplished construction occupational safety and health (COSH) training in regional office on the second semester of 2017:

Region	Date conducted	Number of staff trained
FO CARAGA	July 10-13, 2017 (Batch 1)	55
	July 17-20, 2017 (Batch 2)	47
	July 24-27, 2017 (Batch 3)	49
FO 10	July 17-21, 2017 (Batch 1)	45
	July 24-28, 2017 (Batch 2)	40

IV. INFORMATION DISCLOSURE, SOCIALIZATION INCLUDING CAPABILITY BUILDING

25. The NPMO continues to monitor provision of capacity building interventions by RPMOs and Subregional Project Management Offices (SRPMOs) on ESMF, including training on safeguard requirements and implementation for different types and thresholds of subprojects based on Philippine Environmental Impact Statement (EIS) requirements and ADB's Safeguard Policy Statement (SPS). Some of the capacity interventions include refresher course for ACTs on environmental screening, assessment of impacts and mitigating measures and implementation of ESMP. The table below shows the trainings conducted by RPMOs related to environmental and social safeguards for the second semester of 2017:

Region	Training	Date	Participants	Number of Participants Trained
IV-B	TOT on Social Safeguards and Sustainability Planning	July 31-August 2, 2017	ACT	70
V	Coaching Session on ESMP preparation, and Grassroots Participatory Leadership on IP and Women	Whole month of September (four batches)	ACT	819
	Coaching Session on Social Safeguards Forms and Process	October	Area Coordinators, CDO	99
VI	MCT Roll out Training for Tier 2 Municipalities	August 8-11, 15-18, 22-25, 2017	MCT	497
	MCT Roll-out Training for 377 Municipalities	September 18-22, 25-29, 2017	MCT	257
XI	Facilitating CDD with IP: Building the capacity of KC NCDDP Staff in Implementing CDD in IP areas	September 18-20, 2017 September 20-22, 2017	ACT	78
CARAGA	Technical Coaching Session on KC NCDDP	July 5-7, 2017	ACT	80

V. GRIEVANCE REDRESS MECHANISM

26. The grievance redress system (GRS) is one of the features of the KC-NCDDP to promote transparency and social accountability. It was designed to attend to complaints, problems and issues that arise from project implementation.

27. Installation of the GRS is necessary to inform community members of the mechanism through the following: (i) GRS orientation at the municipal and barangay level; (ii) dissemination of information materials; (iii) formation and training of GRS committees; and (4) reporting and documentation of grievances.

28. The total grievance received during the reporting period is 10400, of which 99.76 % have been satisfactorily resolved. Most of the grievances (96%) are classified as Type A or non-contentious and merely queries and/or comments about the Project. Most of the concerns or grievances filed during the reporting period are on the program's design/guidelines, subproject implementation, procurement processes and community participation. The table below shows the summary of the grievances received during the reporting period.

Grievances received and resolved through GRS as of December 2017

Region	Grievances Received								Grievances Resolved							
	Type A		Type B		Type C		Total		Type A		Type B		Type C		Total	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
As of September 2017																
CAR, I, III, IV-A, IV-B, V, VI, VII, VIII, IX, X & Caraga	66,136	94.9	2,377	3.4	1,184	1.7	69,697	100%	66,136	94.9	2,365	3.4	1,166	1.7	69,667	99.9
As of December 2017																
CAR, I, III, IV-A, IV-B, V, VI, VII, VIII, IX, X & Caraga	72,669	95.1	2,511	3.3	1,255	1.6	76,435	100%	72,668	95.1	2,501	3.3	1,247	1.6	76,416	99.9

Where: Type A = non-contentious, queries and comments

Type B = compliance to the project processes, MOA and other KC implementation arrangements

Type C = grievance on procurement processes and financial management

29. The tables below show the top three grievances. KC Process/design/guideline is the outstanding grievance.

Grievances received and resolved through GRS as of December 2017

Category	As of September, 2017								As of December, 2017							
	Type A		Type B		Type C		Total		Type A		Type B		Type C		Total	
	no	%	no	%	no	%	no	%	no	%	no	%	no	%	no	%
KC Process/design/guidelines	1,890	53.2%	42	44.7%	5	45.5%	1937	53%	1,208	50%	35	67.3.0%	2	100.0%	1,245	50.4%
Positive comments	916	25.8%	1	10.0%	0	0.0%	917	25%	755	31.2%	0	0.0%	0	0.0%	755	30.5%
SPI implementation	744	21 %	51	54.3%	6	54.5%	801	22%	455	18.8%	17	32.7.0%	0	0.0%	472	19.1%
Total	3,550	100.0%	94	100.0%	11	100.0%	3,655	100.0%	2,418	100.0%	52	100.0%	2	100.0%	2,472	100.0%

30. Of the grievances filed during the reporting period, 23 were related to environmental and social safeguards, 19 of which are Type A and three are Type B and 1 type C. Specific concerns filed on safeguards issues are land ownership and donation; permits and clearances; water, sanitation and hygiene (WASH); and sub-project implementation. All of these safeguards-related grievances have been satisfactorily resolved.

Quarter	Type A	Type B	Type C	Total
Quarter 3 2017	13	3	1	17
Quarter 4 2017	6	0	0	6
Total	19	3	1	23

VI. CONCLUSION

31. Safeguard issues identified during the reporting period are: (i) varying interpretation of staff on the appropriate land tenorial instrument to be executed; (ii) longer processing time for land acquisition documents due to non-updating of encumbrances in land titles; (iii) required water potability testing not regularly undertaken; (iv) difficulty in implementing OSH measures during subproject construction phase; (v) delays in the issuance of applicable permits/clearances/certifications from other government agencies; and (vi) the resignation of engaged IR external monitoring consultant.

32. Recommended actions to be completed during the next reporting period are: (i) issuance of the facilitator's guide on how to fill out the ESMP to RPMOs; (ii) conduct of Learning Session on Environmental and Social Safeguards (iii) conduct of consultation-meeting with NCIP (iv) issue reiteration memo about proper management of water supply subprojects and observance of safety measures during construction; (v) continuous provision of OSH training; (vi) review of safeguards database and ensure on-time submission of report with cleaned and updated data; and (vii) conduct of IR external monitoring to be undertaken by the engaged IP EM consultant.

ATTACHMENTS: PHOTODOCUMENTATION



Visayas Indigenous Peoples (IP) Congress



National Community Development (CD) Conference



Barangay Participatory Situational Analysis in Prosperidad, Agusan del Sur



FGD with Community Volunteers of Kapangan, Benguet



Field Visit in Sevilla, Bohol



Field Visit in Pilar, Bohol